Diagnosis and Therapeutic Strategy for Papillary Thyroid Microcarcinoma

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Hypothesis: Total thyroidectomy followed by radioactive iodine I 131 treatment is effective in papillary thyroid microcarcinoma (PTM) with lymph node or extrathyroid invasion.

Design: Retrospective study.

Setting: Chang Gung Medical Center, Linkou, Taiwan.

Patients: A total of 227 patients with PTM, categorized into high-risk (n=12) and low-risk (n=215) groups according to age, metastases, extent, and size criteria.

Interventions: Diagnosis and treatment of PTM.

Main Outcome Measures: Time and method of diagnosis, operative method, metastases, and survival.

Results: In 51 patients, PTM was identified on preoperative fine-needle aspiration cytology; in 75 patients, diagnosed in frozen sections during operation; and in 101 patients, diagnosed incidentally in the final histopathological examination. Among these 3 groups, 18% (9/51), 17% (13/75), and 78% (79/101), respectively, underwent subtotal thyroidectomy or lobectomy for tumors. Four cases (1.8%) displayed distant metastases at diagnosis. Only 0.9% of patients with PTM (2 of 227) died of thyroid cancer. One hundred eighty-nine cases of PTM were confined to the thyroid, 22 had lymph node metastases, and 16 showed extrathyroid extension, including soft-tissue invasion and distant metastases. Sex, operative methods, follow-up status, and mortality showed differences in these groups. Five of 227 patients remained in non–disease-free status at follow-up.

Conclusions: Approximately 10% of PTMs exhibited progressive clinical courses, while less than 1% resulted in mortality. Age, sex, and postoperative thyroglobulin level were the main prognostic factors in the high-risk group of patients with PTM. Conservative treatment of the incidental finding of PTM after suitable postoperative assessment is justified.

Arch Surg. 2005;140:940-945

Wide variations exist in surgical methods as well as use of postoperative radioactive iodine I 131 (131I) treatment and follow-up in papillary thyroid microcarcinoma (PTM). Before consensus is attained in treating this indolent disease, specific facts concerning clinical presentation, diagnosis, long-term follow-up, and PTM treatment results in different populations are needed.1 Autopsy findings have shown prevalence rates of thyroid microcarcinoma ranging from 5.6% to 35.6%.2-4 Although most patients with thyroid microcarcinoma were reported to have displayed a benign clinical course, PTM with an invasive course was also demonstrated.5-8 The clinical behaviors of papillary and follicular thyroid carcinoma are different. The present study retrospectively evaluated 1516 cases of papillary thyroid carcinomas, including 227 patients with PTM who were treated at Chang Gung Memorial Hospital in Linkou, Taiwan. The goals of this retrospective investigation included assessing therapeutic strategies for PTM, including operation and use of 131I treatment in the high-risk group. The study was also aimed at elucidating clinical manifestations of PTM along with the prognostic factors linked to PTM by comparison with larger thyroid carcinomas.

Methods

During 25 years, from January 1, 1977, to December 31, 2002, a total of 2003 patients with thyroid carcinoma, including 1516 with papillary thyroid carcinoma, underwent treatment and were followed up at Chang Gung Medical Center. Among them, 1370 had recorded tumor measurements. This study ex-
cluded the other 146 cases with unknown tumor size. Of the recorded tumors, 227 (16.6%) were PTM, defined as papillary thyroid cancer not larger than 1 cm (Figure 1).

Of the 227 patients with PTM, 168 underwent preoperative thyroid ultrasonography with fine-needle aspiration cytology (FNAC) examinations (Figure 2). In Chang Gung Medical Center, most patients diagnosed as having well-differentiated thyroid cancer before or during operation received nearly total thyroidectomy. Otherwise, subtotal thyroidectomy or lobectomy was performed for benign thyroid disorders. During the operation, frozen sections were taken in 159 patients with PTM. Figure 2 illustrates distribution of the 227 patients with PTM diagnosed through FNAC, frozen section during the surgery, or final histopathological examination.

The 227 cases were categorized into 3 patient groups. Group 1 (51 cases) comprised cases of PTM diagnosed on preoperative FNAC as papillary carcinoma or follicular neoplasm. Group 2 (75 cases) represented PTM diagnosed in frozen sections during operation. Group 3 (101 cases) included incidental findings diagnosed on final histopathological examination. An incidental finding of PTM was defined as the finding of PTM during surgery for benign thyroid disorders and preoperative FNAC or frozen section during operation for benign lesions. Group 3 contained 37 patients not undergoing FNAC or frozen section. Most of these patients received consistent follow-up in the Division of Endocrinology and Metabolism.

All patients were also categorized into high- and low-risk groups according to age, metastases, extent, and size criteria. After the operation, most patients underwent long-term thyroid hormone replacement or suppressive treatment. Cancer assessments involved a 2- to 5-mCi (74- to 185-MBq) 131I whole-body scan and chest radiography. Serum thyroglobulin (Tg) levels were assessed every 6 to 12 months. Postoperative serum Tg levels were detected by an immunoradiometric assay kit (CIS Bio International, Bagnols-sur-Ceze, France). Once the metastatic or recurrent lesions were detected, surgery, external radiotherapy, or 131I therapy was recommended.

Admission records were surveyed and the following data were stored in the computer: age, sex, primary tumor size, ultrasonographic findings, FNAC results, thyroid function before operation, operative methods, histopathological findings, TNM staging, 1-month postoperative serum Tg levels, results of diagnostic and therapeutic 131I scans, postoperative chest radiography findings, clinical status for diagnosis of distant metastases by noninvasive examinations, treatment results, causes of death, and survival status. Statistical significance corresponded to P ≤ .05. Actuarial survival rates were calculated by the Kaplan-Meier method, and differences in survival rates were examined with the Breslow and Mantel-Cox tests.

Most patients in the 3 groups received nearly total thyroidectomy after diagnosis of PTM before or during the operation (Figure 3). In contrast to groups 1 and 2, most group 3 cases received subtotal thyroidectomy because PTM was identified incidentally on final histopathological examination. Twenty-two of the 101 patients in group 3 underwent nearly total thyroidectomy, including those who underwent secondary operation for total thyroidectomy. In this study, the mean ± SD age of the 227 patients with PTM (40.6 ± 12.4 years) paralleled that of patients with larger tumors. Pathological review showed 20 patients with multicentric PTM, 3 with lymphocytic thyroiditis, 2 with a follicular variant of papillary thyroid carcinoma, and 1 with an insular pattern. Among these patients, 1 displayed a multicentric, follicular variant and poorly differentiated patterns simultaneously. Clinical variables were compared between the 207 nonmulticentric and 20 multicentric cases of PTM. The results indicated higher ratios of multicentric PTM in male patients (6 of 31 men vs 14 of 196 women; P = .03). Otherwise, age, tumor size, follow-up status, and TNM staging showed no statistical difference between the 2 groups.

In the present study, after 9.6 years of follow-up, 6 patients had died. Only 0.9% of the patients with PTM (2 of 227) died of thyroid cancer. One patient who died of thyroid cancer was a 74-year-old woman who appeared with skull metastases and microcarcinoma measuring 1 cm in her thyroid. The patient underwent near total thyroidectomy, removal of the skull tumor, and external radiation to the skull and brain. She died 1 year 10 months after the thyroidectomy. The other case was a 43-year-old man diagnosed as having a follicular variant of PTM with vertebral metastases. Multicentric and poorly differentiated patterns were found in the histopathologic findings. After surgical treatment with a total of 500 mCi (18 500 MBq) of 131I treatments, the patient died 5 years later. Of 227 patients with PTM, 4 (1.8%) displayed distant metastases at surgery. Among these patients, 3 had bone metastases. Lung metastases were indicated by 131I scanning and elevated Tg levels in 1 of the patients with PTM.

Table 1 gives the characteristics of the 227 patients with PTM, categorized according to histopathological findings. There were 189 cases of microcarcinoma restricted to the thyroid, 22 of lymph node metastases, and 16 of extrathyroid extension, including soft-tissue invasion and distant metastases. Patients with extrathyroid involvement were demonstrably older. Sex, surgical meth-
Odds, follow-up status, and mortality due to thyroid cancer did show differences between these groups.

Clinical assessment was compared among the 3 groups diagnosed at different periods (Table 2). Of the 227 patients, 193 received serum Tg examinations, with a mean±SD Tg level of 21.0±4.8 ng/mL. Although postoperative 131I uptake was higher in group 3 because of less aggressive surgical procedures, Tg levels otherwise lacked statistically significant differences among the 3 groups. Comparison of mean postoperative Tg levels of patients with tumors restricted to the thyroid and the group with lymph node metastases illustrated a statistically significant difference (Table 1).

Among groups 1, 2, and 3, 18% (9/51), 17% (13/75), and 78% (79/101) of patients, respectively, underwent subtotal thyroidectomy or lobectomy for tumors. The accuracy of preoperative aspiration cytology was only 30.4% (51/168 cases). One hundred seventeen cases were unable to be diagnosed by preoperative ultrasonography and FNAC. Patients who had frozen sections taken during surgery received the correct diagnosis in 74.2% (118/159) of cases (Figure 2). Of the 227 patients, 37 received neither FNAC nor frozen section during surgery.

Table 3 illustrates clinical characteristics of PTM categorized into high-risk (12 patients) and low-risk (215 patients) groups. Age, sex, operative methods, Tg level, follow-up status, and survival differed significantly between the 2 groups. Mean±SD tumor sizes of the low- and high-risk groups were 0.64±0.02 cm and 0.77±0.06 cm, respectively (P=.12). After treatment, no patients in the low-risk group died.
Table 1. Clinical Characteristics of PTM Not Confined to Thyroid

|                      | Intra-Thyroid Microcarcinoma at Diagnosis (n = 189) | Lymph Node Metastases at Diagnosis (n = 22) | Extrathyroid Invasion at Diagnosis (n = 16) | P Value*
|----------------------|--------------------------------------------------|------------------------------------------|------------------------------------------|--------
| Age at diagnosis, mean ± SD, y | 40.3 ± 12.2                                      | 35.6 ± 10.8                              | 49.3 ± 13.4                              | B, C   
| Sex, N, F/M (ratio) | 168/21 (8.0:1)                                   | 16/6 (2.7:1)                             | 12/4 (3.0:1)                             | .04    
| Operative method, No. subtotal/nearly total thyroidectomy (ratio) | 99/90 (1.1:1)                                   | 0/22 (0)                                | 2/14 (0.1:1)                             | <.001  
| Tumor size, mean ± SD, cm | 0.61 ± 0.02                                      | 0.79 ± 0.05                              | 0.84 ± 0.05                              | A, B   
| 131I uptake 1 mo after operation, mean ± SD, % | 14.5 ± 1.6                                       | 5.7 ± 2.5                               | 5.2 ± 1.4                               | .049   
| Tg 1 mo after operation, mean ± SD, ng/mL | 16.9 ± 3.9                                       | 56.0 ± 145.2                             | 18.8 ± 9.3                              | A      
| Present status, No. disease free/non-disease free (ratio) | 189/0 (NA)                                       | 21/1 (21:1)                             | 12/4 (3.0:1)                             | <.001  
| Survival, No. yes/no (ratio) | 185/4 (46.3:1)†                                   | 22/0 (NA)                               | 14/2 (7.0:1)                             | <.001  

Abbreviations: *131I, iodine I 131; NA, not applicable; PTM, papillary thyroid microcarcinoma; Tg, thyroglobulin.

* A indicates P<.001 for intrathyroid vs lymph node groups; B, P<.001 for intrathyroid vs extrathyroid groups; and C, P<.001 for lymph node vs extrathyroid groups.

† All patients died of causes other than thyroid cancer.

Table 2. Classification of PTM Before, During, and After Operation

|                      | Preoperative Diagnosis (FNAC) (n = 51) | Diagnosed During Operation (Frozen) (n = 75) | Final Histopathological Diagnosis (n = 101) | P Value*
|----------------------|-----------------------------------|--------------------------------------------|------------------------------------------|--------
| Age, mean ± SD, y | 40.5 ± 10.7                       | 42.0 ± 14.1                                | 39.3 ± 12                                | .34    
| Operative method, No. subtotal/nearly total thyroidectomy (ratio) | 9/42 (0.2:1)                      | 13/62 (0.2:1)                             | 79/22 (3.6:1)                            | <.001  
| Tumor size, mean ± SD, cm | 0.82 ± 0.03                       | 0.69 ± 0.03                               | 0.53 ± 0.03                              | A, B, C 
| 131I uptake 1 mo after operation, mean ± SD, % | 8.7 ± 2.6                        | 8.3 ± 1.5                                 | 20.1 ± 2.5                               | B, C   
| Tg 1 mo after operation, mean ± SD, ng/mL | 10.9 ± 3.9                        | 22.0 ± 10.4                               | 25.7 ± 7.2                              | .50    
| TNM stage, No. stage 1/stages 2-4 (ratio) | 45/6 (7.5:1)                      | 71/4 (17.8:1)                             | 97/4 (24.3:1)                            | .16    
| Present status, No. disease free/non-disease free (ratio) | 50/1 (50:1)                       | 74/1 (74:1)                               | 98/3 (32.7:1)                            | .76    

Abbreviations: FNAC, fine-needle aspiration cytology; *131I, iodine I 131; PTM, papillary thyroid microcarcinoma; Tg, thyroglobulin.

* A indicates P<.001 for FNAC vs diagnosis during operation; B, P<.001 for FNAC vs final histopathological diagnosis; and C, P<.001 for diagnosis during operation vs final histopathological diagnosis.

risk group died of thyroid cancer. Figure 4 demonstrates the Kaplan-Meier survival curves of these 2 groups. Two patients in the high-risk group died of thyroid cancer. Eight of the 12 patients in the high-risk group were in disease-free survival during the follow-up period.

Among the 227 cases, 149 received a higher dose of *131I therapy for ablation of the remnant or treatment for distant metastases (*131I dose ≥30 mCi [≥1110 MBq] in Figure 3). One hundred twenty-six patients received nearly total thyroidectomy followed by thyroid remnant ablation with *131I treatment. Thirty of the 102 patients showed lymph node or extrathyroid involvement in the histologic finding. Five of the 102 patients showed evidence of not being disease free during the follow-up period, and 2 cases resulted in mortality from thyroid cancer. Fifty-four patients received subtotal thyroidectomy without *131I ablation treatment. No recurrence or mortality due to thyroid cancer occurred.

Without any prospective study of treatment of PTM, achieving consensus on standard operating methods for surgeons has proved difficult. Although aggressive surgical procedures were conducted in cases diagnosed preoperatively or during surgery, 22 (17.5%) of the 126 patients in groups 1 and 2 received subtotal thyroidectomy in this study. Among the 22 patients, 3 showed tumor recurrence in the neck lymph nodes. After surgical and *131I treatments, these 22 cases were in disease-free status. In contrast to groups 1 and 2, of 79 patients in group 3 who received subtotal thyroidectomy, 3 had recurrences and 1 patient was not disease free. However, a high PTM ratio was recorded in the autopsy cases. It would have been inappropriate to observe cases without surgical intervention for PTM diagnosed on ultrasonography with FNAC. Of 101 cases with an incidental finding of PTM (group 3), 4 had recurrences, 4 were not disease free, and 1 resulted in mortality after surgical treatment during the follow-up period. Most incidental PTMs are indolent, but approximately 9% (9/101 cases) were aggressive. Thyroid ultrasonography with FNAC cannot at present detect microscopic metastases of cancer cells.

Of the PTM cases, 16.7% (38/227) experienced a more aggressive pattern with extrathyroid involvement. A recent study speculated that, while iodine intake plays a
minor role in early-phase carcinogenesis of papillary thyroid carcinoma, it may be important in progression of latent papillary carcinoma to clinically evident papillary thyroid carcinoma. No evidence occurred in the study of iodine uptake influencing the progression of PTM. There is controversy about postoperative PTM treatment with 131I, particularly after reviewing the data of autopsy studies. After aggressive treatment consisting of nearly total thyroidectomy with 131I, 5 cases still remained in non–disease-free status. We believe that 131I treatment is indicated for high-risk patients with PTM.

Size of the thyroid tumor is important in different prognostic scoring systems, including the European Organization for Research and Treatment of Cancer12; the Age, Grade, Extent, and Size system13; the Age, Metastases, Extent, and Size system7; and the Metastases, Age, Completeness of Resection, Invasion, and Size system. In this study, age, sex, and postoperative Tg level were demonstrated to be prognostic factors in the Age, Metastases, Extent, and Size system. Aggressive surgical treatment with postoperative 131I ablation and treatment for older male patients with PTM seems indicated. About 8.8% (20 of 227 cases) were histologically proved with multifocal thyroid carcinoma. Although 1-month postoperative serum Tg levels showed no difference between groups with tumor localization, there was a significant difference between patients with papillary microcarcinoma and those with larger tumors, there was a significant difference between groups with tumor localized in the thyroid and extrathyroid invasion. Earlier investigation confirmed that serum Tg levels below 2 ng/mL in patients receiving thyroid hormone replacement, or 3 ng/mL in those without replacement therapy, were infrequently associated with tumor recurrence. Thyroglobulin remains an important postoperative tumor marker in patients with PTM.

Among the 227 patients with PTM, 117 (51.5%) were inaccurately diagnosed on the basis of clinical features. The preoperative diagnostic rate of PTM by FNAC was lower than that for larger tumors because microcarcinoma tumors are much smaller. However, there remained 51 patients with PTM who were accurately diagnosed by ultrasonography and FNAC. In this study, 41 of 159 cases were diagnosed as benign lesions on frozen sections. This result is better than that for FNAC. Otherwise, in most investigations, as in our study, there were no significant differences between the sensitivity, specificity, and diagnostic accuracy of frozen section and FNAC.

Use of radioactive iodine treatment in PTM has not been reported previously, to our knowledge. In this study, 5 of 227 patients were still not disease free even after 131I extrathyroid involvement at presentation and there was a greater percentage of male patients in the high-risk group. Only 2 cases resulted in mortality. More cases and long-term follow-up are required to realize the influence of sex on the prognosis of papillary thyroid carcinoma. Most reports in the literature have shown that older patients with well-differentiated thyroid carcinomas had a worse prognosis. As in this study, mean age was greater in the high-risk group; otherwise, age did not significantly affect aggressiveness or metastases of PTM.

An earlier work reported that Tg level could be used as a tumor marker for follow-up of patients with well-differentiated thyroid cancer. Although tumor recurrence has been detected by elevation of serum Tg level after surgery, there was limited information about serum Tg level as a prognostic factor in patients with PTM. Although 1-month postoperative serum Tg levels showed no difference between patients with papillary microcarcinoma and those with larger tumors, there was a significant difference between groups with tumor localized in the thyroid and extrathyroid invasion. Earlier investigation confirmed that serum Tg levels below 2 ng/mL in patients receiving thyroid hormone replacement, or 3 ng/mL in those without replacement therapy, were infrequently associated with tumor recurrence. Thyroglobulin remains an important postoperative tumor marker in patients with PTM.

### Table 3. Clinical Characteristics of PTM Categorized Into High- or Low-Risk Group

<table>
<thead>
<tr>
<th></th>
<th>Low Risk (n = 215)</th>
<th>High Risk (n = 12)</th>
<th>P Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age at diagnosis, mean ± SD, y</td>
<td>39.6 ± 11.9</td>
<td>56.5 ± 0.5</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Sex, No. F:M (ratio)</td>
<td>189/26 (7:3:1)</td>
<td>7/5 (1:4:1)</td>
<td>.004</td>
</tr>
<tr>
<td>Operative method, No. subtotal/nearly total thyroidectomy (ratio)</td>
<td>100/115 (0.9:1)</td>
<td>1/11 (0.1:1)</td>
<td>.01</td>
</tr>
<tr>
<td>( ^{131}I ) uptake 1 mo after \operation, mean ± SD, %</td>
<td>13.4 ± 1.4</td>
<td>4.8 ± 1.7</td>
<td>.16</td>
</tr>
<tr>
<td>Tg 1 mo after operation, mean ± SD, ng/mL</td>
<td>17.4 ± 3.6</td>
<td>87.5 ± 63.7</td>
<td>.04</td>
</tr>
<tr>
<td>Present status, No. disease free/non–disease free (ratio)</td>
<td>214/1 (214.0:1)</td>
<td>8/4 (2.0:1)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Survival, No. yes/no (ratio)</td>
<td>211/4 (52.8:1)</td>
<td>10/2 (5.0:1)</td>
<td>.003†</td>
</tr>
</tbody>
</table>

**Abbreviations:** \( ^{131}I \), iodine I 131; PTM, papillary thyroid microcarcinoma; Tg, thyroglobulin.

*†Only compared patients who died of thyroid cancer and patients who survived (215/0 vs 10/2).
treatment. Until now, no consensus existed for aggressive treatment including \(^{131}\)I, reoperation, or external radiotherapy if extrathyroid invasion of PTM was found in the first operation. From a clinical practice viewpoint, after appropriate preoperative evaluation, subtotal thyroidectomy may be performed in PTM localized in the thyroid and total thyroidectomy performed in cases with extrathyroid invasion. In this study, about 45% (101/227) of cases of PTM were diagnosed after operation. Around 9% (9 of the 101 cases) proved to have extrathyroid involvement on final histopathologic examination. In a recent study by Ito et al,\(^28\) an observation trial without surgical treatment in PTM localized in the thyroid and total thyroidectomy performed in cases with extrathyroid invasion. In this study, about 45% (101/227) of cases of PTM were diagnosed after operation. Around 9% (9 of the 101 cases) proved to have extrathyroid involvement on final histopathologic examination. In a recent study by Ito et al,\(^28\) an observation trial without surgical treatment in PTM localized in the thyroid and total thyroidectomy performed in cases with extrathyroid invasion. In this study, about 45% (101/227) of cases of PTM were diagnosed after operation. Around 9% (9 of the 101 cases) proved to have extrathyroid involvement on final histopathologic examination. In a recent study by Ito et al,\(^28\) an observation trial without surgical treatment in PTM localized in the thyroid and total thyroidectomy performed in cases with extrathyroid invasion. In this study, about 45% (101/227) of cases of PTM were diagnosed after operation. Around 9% (9 of the 101 cases) proved to have extrathyroid involvement on final histopathologic examination.

In this study, 16.6% of cases of papillary thyroid cancer were PTM. Although most patients with PTM had a benign clinical course, distant metastases causing mortality were occasionally observed. Age, sex, and postoperative Tg level were the main prognostic factors in the high-risk group of patients with PTM. Earlier diagnosis with timely surgical removal of high-risk tumors before distant metastases develops may help improve the prognosis in these patients.

Accepted for Publication: November 2, 2004.

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