Adherence to the Enhanced Recovery After Surgery Protocol and Outcomes After Colorectal Cancer Surgery

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Objectives: To study the impact of different adherence levels to the enhanced recovery after surgery (ERAS) protocol and the effect of various ERAS elements on outcomes following major surgery.

Design: Single-center prospective cohort study before and after reinforcement of an ERAS protocol. Comparisons were made both between and across periods using multivariate logistic regression. All clinical data (114 variables) were prospectively recorded.

Setting: Ersta Hospital, Stockholm, Sweden.


Main Outcome Measures: The association between improved adherence to the ERAS protocol and the incidence of postoperative symptoms, complications, and length of stay following major colorectal cancer surgery was analyzed.

Results: Following an overall increase in preoperative and perioperative adherence to the ERAS protocol from 43.3% in 2002 to 2004 to 70.6% in 2005 to 2007, both postoperative complications (odds ratio, 0.73; 95% confidence interval, 0.55-0.98) and symptoms (odds ratio, 0.53; 95% confidence interval, 0.40-0.70) declined significantly. Restriction of intravenous fluid and use of a preoperative carbohydrate drink were major independent predictors. Across periods, the proportion of adverse postoperative outcomes (30-day morbidity, symptoms, and readmissions) was significantly reduced with increasing adherence to the ERAS protocol (>70%, >80%, and >90%) compared with low ERAS adherence (<50%).

Conclusion: Improved adherence to the standardized multimodal ERAS protocol is significantly associated with improved clinical outcomes following major colorectal cancer surgery, indicating a dose-response relationship.


Although interventions within enhanced recovery after surgery (ERAS) or fast-track programs have been shown to improve postoperative recovery in colorectal surgery, universal implementation has not yet occurred. One reason could be that ERAS programs are considered complex and resource demanding. Another is that the ERAS concept as such possibly appears elusive because the relative contribution of each intervention in the program remains uncertain. Nevertheless, some of the elements in the ERAS program, such as omission of routine bowel preparation for colonic resections, no routine use of postoperative drains, early removal of nasogastric tubes, and early feeding and mobilization, have already been incorporated in traditional care. Recently, a modified fast-track protocol (RAPID) with only 4 interventions, instead of 20 in the ERAS program, was also presented.

Introducing ERAS protocols usually requires a major shift in clinical routines, and many units may have difficulties in making all these changes at once. The effect of the different perioperative ERAS interventions as well as the importance of adherence to the protocol in terms of clinical outcomes, such as postoperative symptoms, morbidity, and length of stay (LOS), remain unclear.

See Invited Critique at end of article

In this prospective cohort study, we assessed the effect of an ERAS protocol relaunch project on protocol adherence and clinical outcomes. The aim was to investigate the importance of protocol adherence and the influence of different ERAS components for clinical outcomes following colorectal cancer surgery.
METHODS

STUDY SUBJECTS

Ersta Hospital in Stockholm, Sweden, is one of the original centers in the European ERAS Study Group. The ERAS protocol for colon and rectal surgery of this collaborative group was first implemented in 2002. Clinical data, including protocol adherence and clinical outcomes, have been prospectively captured in the Web-based international ERAS Database from the start. At Ersta Hospital, all patients undergoing elective major colorectal surgery are consecutively included in the ERAS protocol, which has been the standard of care since 2002. Because of unsatisfactory compliance, the ERAS protocol was re-launched on March 1, 2005, to improve several aspects of the protocol itself and the adherence to the program. Preceding the relaunch, during autumn 2004, a site visit to the pioneering unit at Hvidovere Hospital, Hvidovere, Denmark, helped identify key areas of potential improvement. These improvements concerned a large number of details in the perioperative care protocol, as well as strategies to increase adherence (eTable, http://www.archsurg.com). All patients who underwent a colon and/or rectal cancer resection in the period from 2002 to 2004 (January 1, 2002, to February 28, 2005) and 2005 to 2007 (March 1, 2005, to December 31, 2007) were registered in the database and are included in the study. During these 2 periods, the surgical staff, consisting of 7 senior consultants, anesthetists, and nursing staff, has remained largely unchanged. The research protocol was approved by the ethics committee at the Karolinska Institutet and carried out in accordance with the 1989 World Medical Association Declaration of Helsinki.

STUDY DESIGN

All patients were treated according to a standardized ERAS protocol. Key components in this protocol were thoracic epidural analgesia (activated before onset of surgery and discontinued on postoperative day 2-4), preoperative oral carbohydrate treatment (a carbohydrate-rich, clear beverage, Nutricia Preop 12.5 g/100 mL, carbohydrates, 12% monosaccharides, 12% disaccharides, 76% polysaccharides, 285 mOsm/kg); Nutricia, Zoetermeer, the Netherlands) up until 2 hours prior to surgery, and avoidance of preoperative oral bowel preparation and perioperative fluid overloading. Early oral diet (4 hours after surgery) and early mobilization (2 hours out of bed on the day of surgery and then 6 hours daily) were also part of the protocol. Altogether, 114 variables, including 21 key ERAS adherence variables, were recorded. Clinical data, including extent of postoperative mobilization, symptoms delaying discharge, length of hospital stay (LOS), and 30-day morbidity and mortality, were prospectively captured in the ERAS Database. Daily dietary intake and fluid/energy balance were recorded. Patients were considered fit for discharge using the Veterans Administration Total Parenteral Nutrition Trial definitions and classifications. All patients were examined by a surgeon at Ersta Hospital 2 weeks after discharge and interviewed by a trained nurse on postoperative day 30 to register any late-occurring complications. The definition of postoperative symptoms was symptoms that were not part of a complication and that clearly caused prolonged LOS (unspecified fever, pain, fatigue, constipation, dizziness, or diarrhea).

DATA ANALYSIS

Altogether, 464 consecutive patients in the first period (2002-2004) and 489 consecutive patients in the second period (2005-2007) were included in the study. Adherence to the ERAS protocol was assessed among these 953 patients and analyzed with regard to postoperative outcomes, both between as well as across the 2 periods.

RESULTS

The adjustment variables were age, sex, body mass index, American Society of Anesthesiologists score, surgical interventions, and laparoscopic/open surgery. When calculating adherence to the 12 preoperative and perioperative (day 0, day of surgery) ERAS interventions, the cut offs for adherence to the continuous variables were set as follows: intravenous fluid, colon, peroperative 2000 mL + postoperative 1000 mL = 3000 mL and rectum, peroperative 2500 mL + postoperative 1000 mL = 3500 mL; per oral fluid, more than 0 mL; intravenous kilocalories, less than 200 kcal; and per oral kilocalories, more than 0 kcal.

Adherence was calculated as the number of interventions fulfilled/12 (total number of preoperative and perioperative interventions). When calculating impact on an outcome, preoperative and perioperative interventions were added in the multiple regression model using stepwise modeling including all variables with a P value <.15. A P value <.05 was considered statistically significant. All data were analyzed using Stata version 10.0 (StataCorp, College Station, Texas).

CHANGES IN BASELINE CHARACTERISTICS, TYPE OF SURGERY, AND ADHERENCE

First, to assess the impact of increased adherence to the ERAS protocol, baseline characteristics and type of surgery were compared between periods. The 464 consecutive patients treated in 2002 to 2004 were at lower anesthetic risk compared with the 489 operated on in 2005 to 2007 (American Society of Anesthesiologists score of 1: 21.6% vs 14.5%; P = .007; American Society of Anesthesiologists score of 3: 14.6% vs 19.7%; P = .048). Another significant difference was a small increase in the proportion of patients operated on laparoscopically (Table 1).

A smaller proportion of both low anterior resection and Hartmann operations were performed in the second period compared with the first period, which in turn had a lower proportion of abdominoperineal resections (P < .05). Fewer patients underwent pelvic surgery in the second vs the first period (40.5% vs 47.6%; P = .03) (Table 1). In both periods, the surgical procedure with the highest rate of complications was abdominoperineal resection (46% vs 58.1% in the second and first periods, respectively).
Overall, the mean preoperative and perioperative (day 0) adherence to the 12 specific elements of the ERAS protocol increased from 43.3% among patients undergoing colorectal surgery in 2002 to 2004 to 70.6% in 2005 to 2007 (P < .001). Adherence to most of the postoperative intervention parameters also improved significantly (Table 2).

### POSTOPERATIVE OUTCOMES BETWEEN PERIODS

Following an overall increase in mean preoperative and perioperative adherence to the ERAS protocol from 43.3% to 70.6% between study periods, the number of patients with at least 1 complication declined from 203 (43.8%) in 2002 to 2004 to 165 (33.7%) in 2005 to 2007 and the number of patients with symptoms delaying discharge also declined from 307 (66.2%) to 247 (50.5%) (Figure 1 and Figure 2). Thus, a 27% increase in overall adherence to the ERAS protocol was associated with a 27% reduction in relative risk of any 30-day postoperative morbidity (OR, 0.73; 95% CI, 0.55-0.98) and a 47% reduction in relative risk of symptoms delaying discharge (OR, 0.53; 95% CI, 0.40-0.70), adjusting for confounding. Although the median LOS went from 7 days to 6 days with higher adherence to the ERAS protocol, this was not statistically significant (P = .14). However, the proportion of patients with LOS within the clinic target for abdominoperineal resections (<7 days) increased significantly from 35.4% to 46.8% (OR, 2.34; 95% CI, 1.01-5.38) between the first and second periods (adjusted for confounding) (Figure 1). The difference in proportion of patients with LOS within the clinic targets for colon surgery (<3 days) (9.0% vs 12.7%) and low anterior resection (<5 days) (17.4% vs 22.7%) was not significant. No significant difference was found in the proportion of reoperations (10.6% vs 8.8%), readmissions (10.6% vs 10.2%), or 30-day mortality (1.3% vs 1.2%) between the first and second periods, respectively.
The effect of overall adherence to the ERAS protocol, regardless of period, was also analyzed comparing patients with an overall adherence of more than 90% (n=76), more than 80% (n=183), and more than 70% (n=284) with patients with a low overall adherence less than 50% (n=333). Across periods, the proportions of patients with symptoms delaying discharge and 30-day morbidity were significantly reduced with higher levels of ERAS adherence. ORs for 30-day morbidity were 0.62 (95% CI, 0.43-0.89), 0.57 (95% CI, 0.37-0.89), and 0.33 (95% CI, 0.16-0.66) with more than 70%, more than 80%, and more than 90% adherence, respectively, and the ORs for readmissions were 0.36 (95% CI, 0.17-0.76), 0.38 (95% CI, 0.15-0.95), and 0.16 (95% CI, 0.02-1.19) with more than 70%, more than 80%, and more than 90% adherence, respectively, vs less than 50% adherence.

In univariate analysis, mean LOS was significantly shorter in patients with high ERAS protocol adherence (>70%, 7.4 days; P<.001; >80%, 7.0 days; P<.001; and >90%, 6.0 days; P<.001) compared with patients with low adherence (<50%, 9.4 days). Multiple regression revealed a significant difference in LOS among patients with adherence more than 90% (P<.03) vs less than 50% adherence, while the difference among patients with adherence more than 70% (P=.07) and more than 80% (P=.08) was borderline significant.

**THE IMPACT OF SINGLE ERAS ELEMENTS**

The effect of each intervention on postoperative symptoms, complications, and LOS was analyzed across periods. Multiple regression analysis adjusted for basic characteristics and other protocol interventions revealed that perioperative intravenous fluid management (intravenous day 0 = day of surgery) (Table 2) and receiving a preoperative carbohydrate drink were major independent predictors of postoperative outcomes. The amount of fluids given the day of surgery was concurrently associated with preoperative oral bowel preparation. Patients receiving bowel preparation had a mean amount of 1000 mL of additional fluids given during the day of surgery (OR, 1.33; 95% CI, 1.14-1.54) while patients given preoperative carbohydrates received a mean 450 mL less fluids on average (OR, 0.75; 95% CI, 0.66-0.87). For each additional liter of fluids given during the day of operation, the risk of postoperative symptoms delaying recovery increased by 16% (OR, 1.16; 95% CI, 1.02-1.31) and the probability of postoperative complications increased by 32% (OR, 1.32; 95% CI, 1.17-1.50). In particular, fluid overload in-
increased the risk of cardiorespiratory complications (OR, 1.20; 95% CI, 1.10-1.31). If patients were treated with preoperative carbohydrates, the risk of postoperative symptoms was reduced by 44% (OR, 0.56; 95% CI, 0.40-0.77). In particular, preoperative carbohydrates significantly reduced the risk of postoperative nausea and vomiting, pain, diarrhea, and dizziness. Also, the risk of postoperative wound dehiscence was reduced by the preoperative carbohydrate drink (OR, 0.16; 95% CI, 0.05-0.50). Most of the other preoperative and perioperative ERAS interventions had a positive effect on the different outcome parameters, but the majority did not retain statistical significance in multivariate analyses adjusting for confounding.

**COMMENT**

In this large prospective observational study of more than 900 consecutive patients undergoing major surgery for colorectal cancer within an ERAS program, we found an association between improved protocol adherence and improved postoperative outcomes. Patients with high adherence to the ERAS protocol had a 25% lower risk of postoperative complications and nearly 50% lower risk of postoperative symptoms delaying discharge. They also had a higher tendency toward reaching LOS within the target limits compared with patients operated on under less optimal ERAS protocol adherence. Overall, there was a strong indication of a dose-response relationship between enhanced adherence to the protocol (>70%, >80%, and >90% compared with <50%) and improved surgical outcomes, reducing the relative risk for postoperative symptoms delaying discharge, 30-day morbidity, and readmissions between 38% and 69%. Nearly all preoperative and perioperative ERAS interventions influenced postoperative outcomes beneficially, but intravenous fluid management and intake of a preoperative carbohydrate drink were the major independent predictors.

It is possible, although unlikely, that factors other than improved adherence to the ERAS protocol could explain the observed differences in outcomes between periods. However, the turnover of surgical staff was minimal between periods. Second, although the mix of surgical procedures and the frequency of laparoscopy differed slightly between periods, the proportions of patients who underwent pelvic and laparoscopic surgery were adjusted for in the multivariate analysis. Also, because abdominoperineal resection, the procedure with the highest morbidity and longest recovery, was more frequent in the late study period, this would reduce the observed improvement in outcomes over time. However, the strongest argument for an independent association between overall adherence to the ERAS protocol and improved clinical outcomes is the indication of a dose-response relationship between level of adherence and postoperative morbidity, independent of study period.

The main explanation for the apparently high overall morbidity is that both major and minor complications were prospectively recorded, the patients were relatively old, and a large proportion underwent major pelvic surgery.

Several studies have demonstrated that the ERAS protocol is associated with earlier recovery and discharge after colorectal resection,15-22 while the recovery benefits following pelvic surgery remain uncertain.20,23,24 It has not previously been convincingly shown that an ERAS protocol reduces postoperative complications after colorectal surgery, although 2 systematic reviews and 2 smaller uncontrolled studies1,2,18,24 indicate a decline in surgical morbidity. It was recently found that a modest improvement in ERAS protocol adherence does not improve postoperative outcome,25 but the present study shows a decline not only in complication rates and postoperative symptoms delaying recovery but also a shorter LOS following enhanced protocol adherence.

The ERAS protocol includes approximately 20 evidence-based care elements aimed at reducing surgical stress and postoperative catabolism.9,10 We prospectively audited 21 index elements to assess protocol adherence, 18 of which were significantly improved after the program relaunch. Randomized studies on the importance of the different ERAS components are lacking and evaluating the impact of each single intervention is cumbersome since they influence each other, confounding interpretation. However, in the present study, most of the ERAS elements were found to significantly improve outcome parameters in univariate analysis but failed to do so after adjustment for confounding in the subsequent multivariate analysis where only 2 factors remained independent predictors: perioperative intravenous fluid management and preoperative carbohydrate treatment. Preoperative carbohydrate loading to avoid perioperative fasting reduces postoperative insulin resistance by approximately 50%,10 and attenuates postoperative nitrogen losses, lean body mass, and impairment of muscle function.27-29 The carbohydrate drink, in addition to its metabolic effect, improves patient well-being (thirst, hunger, and anxiety) preoperatively.30 We found that a carbohydrate drink not only reduced the need for perioperative intravenous treatment but also lowered the risk of postoperative symptoms delaying discharge by 44%. Moreover, fluid overload in patients also played a major role, increasing the risk of postoperative complications by 32% for each additional liter of perioperative intravenous fluid administered. This is in line with previous reports of deleterious effects of fluid overload.31,32 But our data show that controlling intravenous fluids is important even within an ERAS setting.

Despite the fact that the more complex multimodal ERAS programs repeatedly have been found to improve recovery after major surgery, old traditions prevail. Hoping to reach the same results with less effort, some clinics select a few components of the ERAS program and incorporate these into traditional care.8,10 The RAPID protocol8 is an example of a modified fast-track protocol where most of the enhanced recovery interventions are omitted. Using only 4 intervention arms (removal of tubes, ambulation, analgesia, and diet introduction), the protocol resulted in enhanced recovery after surgery. However, the target of removal of intravenous fluids on postoperative day 2, ambulation of more than 100 m on postoperative day 2, a patient-controlled analgesia pump instead of epidural anesthesia, and introduction of diet on postopera-
ative day 2 is a clinical pathway that differs from the multimodal pathway previously described by the ERAS Study Group. As the enhanced recovery field develops, certain interventions may turn out to be nonessential. However, before omitting specific components in the protocol, such a decision should be based on a closer understanding of the importance of each element in the program. This study has shown that adherence to the ERAS protocol as a whole results in improved outcomes and identified some elements of the protocol as being more crucial than others. However, our findings reflect the specific circumstances that prevailed during the study and do not contradict that it may be the combination of each of the different elements that makes an effective regimen rather than the single element on its own. This may also be worth considering when implementing the ERAS protocol in other abdominal surgical procedures.

In conclusion, better adherence to the elements of the ERAS protocol is crucial to improve surgical outcome. In this study in particular, restricted perioperative intravenous fluid management and a preoperative carbohydrate drink were found to be of specific importance for beneficial outcomes.

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**Enhanced Recovery Programs**

**Major Benefits Demonstrated Again**

On its face, the ERAS program is a combination of simple, low-risk interventions that, when used in an integrated approach, can reduce LOS and major complications. The benefits have been proven in multiple studies, mostly from Europe, on colorectal surgery patients, not limited to patients with cancer. Gustafsson et al contribute additional information on a large number of patients who underwent resection of colorectal cancers. The data compare an earlier phase (2002-2005) during which ERAS was poorly implemented with a more recent phase (2005-2007) during which more substantial efforts achieved significantly higher compliance with the protocol. Fewer major complications, fewer debilitating postoperative symptoms, and more frequent discharges within LOS targets were seen during the more recent phase of practice and in patients with better compliance with ERAS components. Cautiously can be questioned since patients who have major complications are ill and less likely to participate in various postoperative ERAS activities such as ambulation and early refeeding. However, multivariable analysis showed 2 early interventions in the process of surgery and recovery to be independent predictors of postoperative outcomes: preoperative carbohydrate loading and perioperative fluid restriction.

The ERAS programs are not known to be widely adopted in the United States. An ERAS program requires a multidisciplinary collaboration between surgeons, anesthesiologists, and nurses. Preoperative carbohydrate loading involves oral intake up until 2 hours prior to induction, which may not be accepted among anesthesiologists. Limiting fluid administration during surgery is also primarily under control of the anesthesiologist. Nearly all ERAS programs include a preoperative education session to promote patient participation in postoperative components of the proto-